

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/441,271
	Filing Date	11/16/1999
	First Named Inventor	Mayers
	Art Unit	2674
	Examiner Name	Kevin M. Nguyen
Total Number of Pages in This Submission	Attorney Docket Number	NC18455

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Wayne M. DeMello Reg. No. 48,601
Signature	<i>W M DeMello</i>
Date	03/31/03

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 03/31/03	
Typed or printed	Wayne M. DeMello
Signature	<i>W M DeMello</i>
Date	03/31/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/H7 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**110.00****Complete if Known**

Application Number	08/441,271
Filing Date	11/16/1999
First Named Inventor	Meyers
Examiner Name	Kevin M. Nguyen
Art Unit	2674
Attorney Docket No.	NC18455

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

500270

IVS

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 130	1053 130	2053 130	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	1812 2,520	1053 130	2053 130	Non-English specification	
1804 920*	1804 920*	1812 2,520	2052 25	For filing a request for ex parte reexamination	
1805 1,840*	1805 1,840*	1804 920*	2053 130	Requesting publication of SIR prior to Examiner action	
1251 110	2251 55	1805 1,840*	2053 130	Requesting publication of SIR after Examiner action	
1252 410	2252 205	1251 110	2251 55	Extension for reply within first month	110.00
1253 930	2253 465	1252 410	2252 205	Extension for reply within second month	
1254 1,450	2254 725	1253 930	2253 465	Extension for reply within third month	
1255 1,970	2255 985	1254 1,450	2254 725	Extension for reply within fourth month	
1401 320	2401 160	1255 1,970	2255 985	Extension for reply within fifth month	
1402 320	2402 160	1401 320	2401 160	Notice of Appeal	
1403 260	2403 140	1402 320	2402 160	Filing a brief in support of an appeal	
1451 1,510	2451 755	1403 260	2403 140	Request for oral hearing	
1452 110	2452 55	1451 1,510	2451 755	Petition to institute a public use proceeding	
1453 1,300	2453 650	1452 110	2452 55	Petition to revive - unavoidable	
1501 1,300	2501 650	1453 1,300	2453 650	Petition to revive - unintentional	
1502 470	2502 235	1501 1,300	2501 650	Utility issue fee (or reissue)	
1503 630	2503 315	1502 470	2502 235	Design issue fee	
1480 130	2480 65	1503 630	2503 315	Plant issue fee	
1807 50	2807 25	1480 130	2480 65	Petitions to the Commissioner	
1806 180	2806 90	1807 50	2807 25	Processing fee under 37 CFR 1.17(q)	
8021 40	28021 20	1806 180	2806 90	Submission of Information Disclosure Stmt	
1809 750	2809 375	8021 40	28021 20	Recording each patent assignment per property (times number of properties)	
1810 750	2810 375	1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801 750	2801 375	1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1802 900	2802 450	1801 750	2801 375	Request for Continued Examination (RCE)	
		1802 900	2802 450	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**110.00****SUBMITTED BY**

Name (Print/Type) Wayne M. DeMello

Signature

W M DeMello

Registration No.
(Attorney/Agent)

48,601

(Complete if applicable)

Telephone 781.993.4836

Date

03/31/2003

This collection of information is required by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED

RECEIVED
3-31-03ATTORNEY DOCKET NO. NC18455)
U.S. SERIAL NO. 09/441,271
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11/c
4-4-03

In re application of: : MEYERS ET AL.
Serial No. : 09/441,271
Filed : November 16, 1999
For : TANGIBLY ENCODED MEDIA IDENTIFICATION IN A BOOK COVER
Group No. : 2674
Examiner : Kevin M. Nguyen

BOX NON-FEE AMENDMENTCommissioner for Patents
Washington, D. C. 20231

Sir:

AMENDMENT AND RESPONSE PURSUANT TO 37 C.F.R. 1.111

In response to the Office Action dated 11/29/2002, please amend the above-identified patent application as follows:

Please replace pending claims 2-6 with amended claims 2-6 as follows:

- Sub
DI
C1
2. (Amended) The system of claim 41, wherein said cover includes an integrated circuit and said indication of second electronic code is stored in said integrated circuit.
 3. (Amended) The system of claim 41, wherein said indication of second electronic code is embedded in said cover.
 4. (Amended) The system of claim 41, wherein said cover is a fabric having threads and said indication of second electronic code is woven into said threads of said fabric.
- 00000004 500270 09441271